

**JOHNSON MUTUAL FUNDS TRUST**

**3777 WEST FORK ROAD  
CINCINNATI, OHIO 45247  
(513) 661-3100  
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(513) 661-3160 FAX**



**JOHNSON**  
MUTUAL FUNDS

For Internal Use  
A/C#

**ROTH IRA TRANSFER**

**Instructions:**

Use this form to transfer cash from an existing account to Johnson Mutual Funds Trust. If you are opening a new IRA, the completed IRA Application must accompany this IRA Transfer form. Please be aware that your present institution may require additional documentation including a signature guarantee by a commercial bank or New York Stock Exchange member firm. Please complete all the information requested below. Johnson Mutual Funds Trust will process your transfer and the Custodian will send you notification when the cash has been received and invested.

**1. Participant Information**

Name \_\_\_\_\_ Social Security Number \_\_\_\_\_  
Address \_\_\_\_\_ Date of Birth \_\_\_\_\_  
City/State/Zip Code \_\_\_\_\_ (\_\_\_\_\_) \_\_\_\_\_  
Home Phone Number \_\_\_\_\_

**2. Name, Address and Phone Number of Resigning Trustee**

Name of Organization \_\_\_\_\_  
Attention \_\_\_\_\_  
Address \_\_\_\_\_ (\_\_\_\_\_) \_\_\_\_\_  
Phone Number \_\_\_\_\_  
City/State/Zip Code \_\_\_\_\_ Account or Policy Number \_\_\_\_\_

Type of Account to be Transferred:  IRA  
 Rollover IRA  
 Roth IRA  
 SEP-IRA

**3. Recent Statement**

Is a copy of your resigning trustee account statement attached?  
 Yes  No

**4. Transfer Details**

*Note: If you are 70½ or older this year, the required minimum distribution **MUST** be taken before the transfer is made.*

Liquidate all fund and fractional shares (estimated value of transfer \$ \_\_\_\_\_) of the account indicated in Section 2 and transfer the proceeds to my Johnson Mutual Funds IRA [ ] immediately [ ] at maturity. **Close the account.** Send any subsequent dividends or interest that post to my IRA.

Liquidate \$ \_\_\_\_\_ of the account indicated in Section 2 and transfer the proceeds to my Johnson Mutual Funds IRA [ ] immediately [ ] at maturity. **Do not close the account.**

Details of Partial Liquidation: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**5. Fund Selection**

The minimum initial investment is \$2,000 for each fund.

If your transfer is not your initial investment, please indicate which Fund you would like your transferred assets to be invested in:

Initial Investment (See Accompanying IRA Application)

- OR -

\$ Amount or % Per Fund

- Johnson Growth Fund \_\_\_\_\_
- Johnson Disciplined Mid-Cap Fund \_\_\_\_\_
- Johnson Realty Fund \_\_\_\_\_
- Johnson Fixed Income Fund \_\_\_\_\_
- Johnson Disciplined Small-Cap Fund \_\_\_\_\_
- Johnson Equity Income Fund \_\_\_\_\_
- Johnson international Fund \_\_\_\_\_

**6. Authorization for Transfer**

**To Resigning Trustee or Custodian:**

I have established a qualified Individual Retirement Account with Johnson Mutual Funds Trust. Please use this letter as your authorization to liquidate assets in my account with your firm, as indicated below. To ensure proper crediting, please contact the Funds for trustee-to-trustee delivery instructions.

\_\_\_\_\_  
Investor Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature Guarantee (If Needed)

**7. New Custodian**

To be completed by new custodian.

We have been requested to send you a letter of acceptance in order to transfer the assets of the above-mentioned account for deposit to the Johnson Mutual Funds Trust. To ensure proper crediting, contact the Funds for trustee-to-trustee delivery instructions.

This is to be executed as a trustee-to-trustee transfer so as not to put the plan participant in actual or constructive receipt of all or any part of the transferred assets.

\_\_\_\_\_  
Trust Officer

\_\_\_\_\_  
Date